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1651

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FORM**

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Total Number of Pages in This Submission

8

Application Number  
10/020,464Filing Date  
11-30-2001First Named Inventor  
Tacon et al.Art Unit  
1651Examiner Name  
Naff, David M.Attorney Docket Number  
12905**ENCLOSURES (Check all that apply)**

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form                             | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance Communication to TC                            |
| <input type="checkbox"/> Fee Attached                                     | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
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| <input type="checkbox"/> After Final                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                        | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                        | <input type="checkbox"/> Terminal Disclaimer  | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
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| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Battelle Memorial Institute		
Signature			
Printed name	William B. Richards		
Date	08-31-2005	Reg. No.	44,301

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**PATENT APPLICATION**

***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***

*Group:* 1651

*Attorney*

*Docket:* 12905

*Applicant:* Tacon et.al.

*Title:* Shaped Microparticles for  
Pulmonary Drug Delivery

*Serial No.:* 10/020,464

*Filed:* 11-30-2001

*Examiner:* Naff, David M.

Certificate Under 37 CFR § 1.10

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on August 31, 2005

  
Judy Readman

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith are patents, publications, or other information, which may be material, as defined in 37 CFR § 1.56(b), to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37CFR § 1.56(a). Also submitted herewith is Form PTO/SB/08A on which is listed all cited items of information.

The following references are enclosed:

US Patent Documents

A1	5,651,900	Keller et al.	07-29-1997
A2	5,770,076	Chu et al.	06-23-1998
A3	5,798,042	Chu et al.	08-25-1998
A4	5,893,974	Keller et al.	04-13-1999
A5	5,985,164	Chu et al.	11-16-1999

The Examiner is respectfully requested to initial the space adjacent to each document entry on Form PTO/SB/08A and to return a copy of the initialed form to the undersigned to confirm that the documents have been considered and have been officially made of record.

While the information referred to in this Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Information Disclosure Statement is not intended, pursuant to 37 CFR §1.97(h), to constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. Further, pursuant to 37 CFR §1.97(g), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made. Finally, the filing of this Information Disclosure Statement shall not be construed as a representation that no other material information exists and shall not be construed as an admission against interest in any manner.

Pursuant to 37 CFR § 1.97(b)(3), this Information Disclosure Statement is being filed before the mailing date of a First Office Action on the merits. Applicants believe no fees are due and payable in connection with this submission. In the event fees are due, please charge

Applicant's undersigned counsel's Deposit Account 021266. A duplicate copy of this authorization is enclosed.

If the Examiner has any questions or wishes to discuss this Application, the Examiner is invited to telephone the undersigned representative at the number set forth below.

Respectfully submitted,



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Attorney for Applicants  
Reg. No. 44,301

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